



5038 11/22/06

\$375.00 ✓

OFFICE OF  
INSURANCE COMMISSIONER

In the Matter of

No. D06-338

GENWORTH LIFE AND ANNUITY  
INSURANCE COMPANY,

CONSENT ORDER  
IMPOSING A FINE

An Authorized Insurer

Findings of Fact:

1. Genworth Life and Annuity Insurance Company ("GLAIC") is authorized to conduct insurance business in Washington State. It issues life and disability insurance.
2. GLAIC electronically filed its calendar year 2004 Separate Accounts Statement on April 29, 2005.

Conclusions of Law:

1. GLAIC's failure to timely file its calendar year 2004 Separate Accounts Statement constitutes one violation of RCW 48.05.250.
2. RCW 48.05.185 authorizes the Commissioner to impose a fine in lieu of or in addition to the suspension or revocation of an insurer's certificate of authority.

Consent to Order:

GLAIC consents to the following, in order to resolve this matter without further legal or administrative proceedings. The Insurance Commissioner consents to resolve this matter in consideration of the insurer's payment of a fine as set forth below.

1. GLAIC consents to the entry of the foregoing Findings of Fact and Conclusions of Law, and acknowledges its duty to comply fully with all applicable laws and regulations of the State of Washington. It waives further administrative or legal challenge to the actions taken by the Insurance Commissioner, related to the subject matter of this Order.



2. Within thirty days of the entry of this Order, GLAIC will pay to the Insurance Commissioner a fine in the amount of \$375 (three hundred seventy five dollars).
3. Failure to pay the fine in full within thirty days of the entry of this order will constitute grounds for revocation of the certificate of authority held by GLAIC in Washington State. It will also result in a civil action being brought by the Attorney General on behalf of the Insurance Commissioner, to recover the fine.

Executed this 16<sup>th</sup> day of November, 2006.

GENWORTH LIFE AND ANNUITY INSURANCE COMPANY

By: Michele Traupe

Printed Name: Michele Traupe

Printed Corporate Title: Assistant Treasurer

Pursuant to the foregoing Findings of Fact, Conclusions of Law, and Consent to Order, the Insurance Commissioner orders as follows:

Order:

1. Genworth Life and Annuity Insurance Company is ordered to pay, within thirty days of the entry of this order, a fine in the amount of \$375 (three hundred seventy five dollars).
2. Failure to pay the fine timely and in full will constitute grounds for revocation of the certificate of authority held by Genworth Life and Annuity Insurance Company in Washington State. It will also result in a civil action being brought by the Attorney General on behalf of the Insurance Commissioner, to recover the fine.

Executed this 30<sup>th</sup> day of November, 2006

MIKE KREIDLER

Insurance Commissioner

By: Marcia G. Stickler

Marcia G. Stickler

Legal Affairs Division